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1980

c 135 Elevating Devices Act

Ontario

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CHAPTER 135

Elevating Devices Act

1. In this Act,

Interpretation

- (a) "alteration" means an alteration or replacement, removal or addition of any component or part of an elevating device that results in, or may result in, a change in the original design, inherent safety or operational characteristics of the elevating device, and "altered" has a corresponding meaning;
- (b) "attendant" means a person who, as the whole or a part of his normal duties.
 - (i) operates an elevating device that is equipped with operating devices that are automatically rendered inoperative should an unsafe condition for operation of the elevating device arise, or
 - (ii) actively engages in or supervises the loading, passage or unloading of persons or freight on an elevating device;
- (c) "contractor" means a person who performs for his own benefit or for the benefit of another, with or without compensation, any work with respect to the installation, alteration, repair or maintenance of an elevating device or part thereof but does not include an employee;
- (d) "Deputy Minister" means the Deputy Minister of Consumer and Commercial Relations;
- (e) "design submission" means drawings, specifications, calculation sheets, work test certificates and any other information prescribed by regulation for an elevating device or part thereof submitted to the Ministry for the purpose of registration;
- (f) "Director" means the Director of the Elevating Devices Branch of the Ministry;
- (g) "elevating device" means a non-portable device for hoisting and lowering or moving persons or freight, and

includes an elevator, dumbwaiter, escalator, moving walk, manlift, passenger ropeway, incline lift, construction hoist, stage lift, platform lift and stairway lift as defined in the regulations;

(h) "freight" means any substance, article or thing;

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- (i) "inspector" means an inspector appointed for the purposes of this Act;
- (j) "major alteration" means a major alteration as defined in the regulations;
- (k) "maximum capacity" means the weight that an elevating device is designed and constructed to carry safely as prescribed by the regulations;
 - (l) "mechanic" means a person who has a minimum of four years work experience directly related to the work assigned to him and who has full knowledge of this Act and the regulations and of the codes applicable to the elevating device upon which he is assigned to work;
- (m) "Minister" means the Minister of Consumer and Commercial Relations:
- (n) "Ministry" means the Ministry of Consumer and Commercial Relations;
- (o) "operator" means a person who in the normal course of his duties.
 - (i) operates an elevating device that is equipped with operating devices that are not automatically rendered inoperative upon the arising of a condition rendering the operation of the elevating device unsafe, and
 - (ii) has direct and full control of any movement of the load-carrying unit of the elevating device;
- (p) "owner" includes the person in charge of an elevating device as owner, licensee, lessee, agent or otherwise, but does not include an attendant or operator as such;
- (q) "professional engineer" means a person who is a member of the Association of Professional Engineers of the

Province of Ontario or who is licensed to practise as a professional engineer under the *Professional Engineers* R.S.O. 1980, Act;

- (r) "regulations" means the regulations made under this Act. 1980, c. 9, s. 1.
- 2. This Act does not apply to,

Where Act does not apply

- (a) elevating devices in or in connection with private dwelling houses used exclusively by the occupants thereof and their guests unless the owner of the device requests that this Act be applied to it;
- (b) feeding machines or belt, bucket, scoop, roller or similar type of freight conveyor or material handling device;
- (c) a lifting device that is an intermediate part of a fully automatic conveyor or material handling system;
- (d) freight ramps having a means of adjusting the slope of the ramp;
- (e) freight platforms, on which the riding of persons is prohibited, having a rise of two metres or less;
- (f) lubrication hoists or other similar mechanisms;
- (g) piling or stacking machines used within one storey;
- (h) elevating devices that are,
 - (i) installed in or adjacent to a barn, and
 - (ii) used by the proprietor of the barn or a tenant thereof exclusively for his agricultural purposes;
- (i) lifting devices that are,
 - (i) at each entrance mechanically loaded or unloaded by a conveyor or other fixed mechanism,
 - (ii) so fenced in or guarded as to prevent persons from accidentally entering the hoistway,

(iii) in a location inaccessible to the general public, and

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- (iv) controlled by designated trained personnel only;
- (j) powered platforms that are designed to provide access to the exterior or interior of a building or structure and that consist of a suspended working platform, a roof car, or other suspension means and track or guidance systems;
- (k) automated window cleaning mechanisms;
 - (l) dumbwaiters, having a car-floor area less than 0.2 square metres, maximum capacity less than ten kilograms and the sill of every hoistway opening 0.8 metres or more above floor level; and
- (m) any class or subclass of elevating devices exempted by the regulations. 1980, c. 9, s. 2.

Appointment of inspectors and Director 3.—(1) Such inspectors as may be necessary to enforce this Act and the regulations may be appointed by the Deputy Minister and the Deputy Minister may designate one of the inspectors as the Director for the purposes of the general administration of this Act and the regulations including the supervision and direction of inspectors.

Certificate of appointment (2) The Deputy Minister shall issue a certificate of appointment, bearing his signature or a facsimile thereof, to every inspector.

Production of certificate (3) Every inspector, while in the exercise of any of his powers or duties under this Act, shall produce his certificate of appointment upon request. 1980, c. 9, s. 3.

Special inspector

4. The Deputy Minister may authorize the Director to employ the services of a qualified person to inspect any elevating device, in which case and for such purpose only that person shall be deemed to be an inspector. 1980, c. 9, s. 4.

Right to examine person under oath 5. For the purpose of an inspection or an investigation under this Act, the Director may, by notice in writing, require the attendance before him of any person at the time and place named in the notice and may then and there examine such person under oath regarding any matter pertaining to the inspection or investigation. 1980, c. 9, s. 5.

- **6.**—(1) An inspector may, for the purposes of carrying out his Powers of duties under this Act and the regulations,
 - (a) subject to subsection (3), at any time without a warrant, enter in or upon any premises where he has reason to believe that an elevating device is being installed or operated and inspect an elevating device;
 - (b) require the production of any licence, drawings, notice, document, report or record required by this Act or the regulations and examine and copy the same and may require information from any person concerning any matter related to an elevating device or the handling or use thereof;
 - (c) by notice in writing, require an owner to prepare his elevating device or any part thereof for inspection;
 - (d) require the owner, attendant, operator, contractor or any user of an elevating device to do or refrain from doing anything the inspector considers necessary during an inspection;
 - (e) be accompanied by any person who has special or expert knowledge of any matter in relation to an elevating device or a part thereof or use thereof;
 - (f) require the owner of an elevating device to conduct at his own expense such tests as the inspector specifies;
 - (g) alone, or in conjunction with such other person or persons possessing special or expert knowledge or skill as the Director designates, make such examinations, tests, inquiries as may be necessary to ascertain whether this Act and the regulations are being complied with, and for such purpose take or remove any material or part, subject to the owner being notified thereof.

(2) In carrying out his duties under this Act, an inspector shall Safety codes apply such safety codes as are prescribed by this Act and the regulations.

(3) An inspector shall not enter any room or place actually being Limit on used as a dwelling where the occupier refuses entry except under to enter the authority of a search warrant issued under section 142 of the Provincial Offences Act. 1980, c. 9, s. 6.

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Obstructing inspector prohibited 7.—(1) No person shall hinder, obstruct, molest or interfere with or attempt to hinder, obstruct, molest or interfere with an inspector in the exercise of a power or the performance of a duty under this Act and the regulations.

Assisting inspector

(2) Every person shall furnish all necessary means in his power to facilitate any entry, inspection, examination or inquiry by an inspector in the exercise of his powers and duties under this Act and the regulations.

Failure to comply

(3) No person shall neglect or refuse to produce a licence, drawing, specification, record or report as required by an inspector under clause 6 (1) (b).

Providing information

(4) No person shall furnish an inspector with false information or neglect or refuse to furnish information required by an inspector in the exercise of his duties under this Act and the regulations. 1980, c. 9, s. 7.

Disclosure of information

8.—(1) An inspector shall not publish, disclose or communicate to any person any information, record, report or statement acquired, furnished, obtained, made or received under the powers conferred under this Act and the regulations except for the purposes of carrying out his duties under this Act and the regulations.

Idem

(2) The Director may communicate or allow to be communicated, disclosed or published information, material, statements or test results acquired, furnished, obtained or made under the powers conferred under this Act and the regulations. 1980, c. 9, s. 8.

Liability of inspector **9.**—(1) No action or other proceeding for damages lies or shall be instituted against an inspector for an act or omission by him in good faith in the execution or intended execution of any power or duty under this Act or the regulations.

Liability of Crown R.S.O. 1980, c. 393 (2) Subsection (1) does not, by reason of subsections 5 (2) and (4) of the *Proceedings Against the Crown Act*, relieve the Crown of liability in respect of a tort committed by an inspector to which it would otherwise be subject and the Crown is liable under that Act for any such tort in like manner as if subsection (1) had not been enacted. 1980, c. 9, s. 9.

Inspection order

10.—(1) Where an inspector finds that any provision of this Act or the regulations is being contravened, he may serve the person he believes to be the contravenor and that person's supervisor or employer, or any of them, an order in writing directing compliance with the provision and may require the order to be

order.

carried out forthwith or within such time as is specified in the

- (2) An order under this section shall contain sufficient informa- Idem tion to specify the nature of the contravention.
- (3) Where in the opinion of an inspector there is a contravention Affixing of this Act or the regulations of such a nature as may pose a serious hazard to the safety of any person or property, he shall order that the elevating device in respect of which the contravention exists not be operated or used and shall affix a seal thereto.

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- (4) Where a seal has been affixed to an elevating device under 1dem subsection (3), no person shall remove the seal except an inspector or a mechanic authorized by an inspector for the purpose of making the elevating device conform to this Act and the regulations.
- (5) Any person aggrieved by an order made under this section Appeal of may appeal to the Director who shall hear and dispose of the appeal but such an appeal does not affect the operation of the order appealed from pending disposition of the appeal.

(6) An appeal under subsection (5) need not be made in writing, Idem but the Director may require the grounds for appeal to be specified in writing before the appeal is heard.

- (7) On an appeal under this section, the Director may substitute Idem his findings or opinion for those of the inspector who made the order appealed from and may rescind or affirm the order or make a new order in substitution therefor and the order of the Director shall stand in place of and have the like effect under this Act as the order of the inspector.
- (8) Where an elevating device or part thereof is found, after its Repairs to defective installation, to be unsafe or inherently defective, the Director may parts order such repairs as he considers necessary to be made within such time period as he specifies. 1980, c. 9, s. 10.

11.—(1) No person shall commence a new installation or Drawings and specifications major alteration of an elevating device until a design submission to be therefor has been registered by the Director.

- (2) Application for registration under subsection (1) shall be in Idem accordance with the requirements prescribed by the regulations.
- (3) The Director may refuse to register a design submission Idem where,
 - (a) it does not comply with the requirements of this Act and the regulations;

- (b) it is not sealed by a professional engineer; or
- (c) it appears that it may result in an elevating device, the operation of which could pose a safety hazard to any person or property. 1980, c. 9, s. 11.

Compliance with Act and regulations required 12. No person shall construct, install, alter, repair, maintain or test an elevating device or part thereof except in accordance with this Act and the regulations. 1980, c. 9, s. 12.

Contractor to be registered **13.** No person shall act as a contractor unless he is registered as a contractor under this Act and no contractor who is registered under this Act shall offer or provide a service not authorized in his registration. 1980, c. 9, s. 13.

Contractor to take precautions 14. A contractor shall take every precaution reasonable in the circumstances to ensure that his employees comply with this Act and the regulations. 1980, c. 9, s. 14.

Operation without inspection prohibited 15. No person shall put into service a newly installed elevating device or an elevating device to which a major alteration has been made until it has been inspected by an inspector and licensed in accordance with this Act and the regulations. 1980, c. 9, s. 13.

Operation of unsafe device prohibited

16.—(1) No person shall operate an elevating device or cause or permit it to be operated if he has reason to believe that it is in an unsafe condition.

Unsafe operation prohibited

(2) No person shall operate an elevating device or cause or permit it to be operated in an unsafe manner.

Excessive loads prohibited (3) No person shall operate or use an elevating device or cause or permit it to be operated or used with a load in excess of its maximum capacity.

Exception to subss. (1-3)

(4) Subsections (1), (2) and (3) do not apply to an inspector, a person authorized by an inspector or a mechanic during the installation, alteration, repair, testing or inspection of an elevating device.

Sealed device (5) No owner shall use or permit the use of an elevating device to which a seal has been affixed under section 10 until authorized in writing by an inspector. 1980, c. 9, s. 16.

- 17.—(1) No person shall conduct himself in or on an elevating Safe device or perform any work on an elevating device in such manner conduct as to,
 - (a) impair the safe operation of the elevating device; or
 - (b) endanger himself, any other person or freight.
- (2) No person shall remove, displace, interfere with or damage Removing. any device installed in or about an elevating device for its safe devices operation except,

prohibited

- (a) a person making an inspection under this Act or the regulations; or
- (b) a contractor for the purpose of making a test or repair. 1980, c. 9, s. 17.
- 18. No person shall provide an elevating device or any part Renting. thereof for use by another person under any rental, leasing or other elevating arrangement if the elevating device or part thereof is in an unsafe device condition or otherwise not in conformance with this Act and the regulations. 1980, c. 9, s. 18.

19. The owner of an elevating device shall not operate it and Licence and shall ensure that it is not operated unless,

required

- (a) it is licensed; and
- (b) it complies with this Act and the regulations. 1980, c. 9, s. 19.
- 20. Where the operation of an elevating device requires that Where an attendant or operator be present, the owner of the device shall or ensure that the attendant or the operator, as the case may be, operator required complies with the requirements of this Act and the regulations. 1980, c. 9, s. 20.

21.—(1) Subject to subsection (2), the Director shall issue a Licence licence for an elevating device or a renewal thereof to an applicant elevating therefor, subject to such terms and conditions as are prescribed in device the regulations and as are agreed to by the applicant or licensee.

(2) Subject to section 23, the Director may refuse to grant or to Where renew a licence for an elevating device, or may suspend or revoke be refused, such a licence where,

revoked. etc.

(a) the elevating device or the operation thereof does not comply with this Act or the regulations; or

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(b) the holder of the licence has failed to comply with a notice or order of an inspector or is in contravention of this Act or the regulations. 1980, c. 9, s. 21.

Registration of contractor

22.—(1) Subject to subsection (2), the Director shall register a contractor under this Act subject to such terms and conditions as are prescribed in the regulations.

Where registration may be refused, revoked, etc.

- (2) The Director may refuse to register or to renew the registration of a contractor or may suspend or revoke the registration of a contractor where,
 - (a) the applicant or registrant is in contravention of this Act, the regulations or a term or condition of his registration;
 - (b) there are reasonable grounds to believe that the applicant or registrant is incompetent or lacks basic resources or requisite skills.

Limited registration

(3) Registration under subsection (1) may be limited to those classes of elevating devices that the Director considers the contractor to be qualified to service. 1980, c. 9, s. 22.

Notice of proposal

- **23.**—(1) Where the Director proposes,
 - (a) to refuse to grant or to renew a licence or registration;
 - (b) to suspend or revoke a licence or registration; or
 - (c) to limit a registration,

under section 21 or 22, he shall serve notice of his proposal together with written reasons therefor, on the applicant, licence holder or registrant, as the case may be.

Idem

(2) A notice under subsection (1) shall inform the applicant, licence holder or registrant that he is entitled to a hearing by a judge of the county or district court for the county or district in which he resides if he applies to a judge thereof within fifteen days after the notice under subsection (1) is served on him and he may so apply for such a hearing.

Power of Director where no hearing (3) Where an applicant, licence holder or registrant does not apply to a judge for a hearing in accordance with subsection (2), the Director may refuse to grant a licence or registration, or may carry out the proposal stated in his notice under subsection (1).

Appointment for hearing (4) Where an applicant, licence holder or registrant applies to a judge for a hearing in accordance with subsection (2), the judge shall in writing appoint a time and place for and hold the hearing.

(5) Upon the application of the Director at the hearing, the Powers of iudge may by order require the Director to grant the licence or hearing registration, or permit him to carry out his proposal, or direct that such action as the judge considers proper be taken by the Director in accordance with this Act and the regulations and for such purposes the judge may substitute his opinion for that of the Director.

(6) The Director may serve notice under subsection (1) person- Service of ally or by registered mail addressed to the applicant, licence holder or registrant at his address last known to the Director and where notice is served by registered mail, the notice shall be deemed to have been served on the third day after the day of mailing unless the person to whom notice is being given establishes to the judge to whom he applies for a hearing that he did not, acting in good faith, through absence, accident, illness or other cause beyond his control receive the notice or order until a later date.

(7) A judge to whom application is made by an applicant, Extension licence holder or registrant for a hearing under this section may application extend the time for making application, either before or after expiration of the time fixed therein, where he is satisfied that there are prima facie grounds for granting relief to the applicant, licence holder or registrant pursuant to a hearing and that there are reasonable grounds for applying for the extension, and may give such directions as he considers proper consequent upon the extension.

- (8) Where, within the time prescribed therefor, or if no time is Continuation prescribed, prior to the expiry of his licence or registration, a renewal licence holder or registrant has applied for renewal of his licence or registration and paid the prescribed fee, his licence or registration shall be deemed to continue.
 - (a) until the renewal is granted; or
 - (b) where he is served with notice that the Director proposes to refuse to grant the renewal, until the time for applying for a hearing by a judge has expired and, where a hearing is applied for, until the judge has made his decision. 1980, c. 9, s. 23.
- 24.—(1) The Director, the applicant, the licence holder or Parties registrant who has applied for the hearing and such other persons as are specified by the judge are parties to the proceedings before a judge under section 23.
- (2) Notice of a hearing under section 23 shall afford to the Opportunity licence holder or registrant a reasonable opportunity to show or to compliance

achieve compliance before the hearing with all lawful requirements for the retention of the licence or registration.

Examination of documentary evidence

(3) An applicant, licence holder or registrant who is a party to proceedings under section 23 shall be afforded an opportunity to examine, before the hearing, any written or documentary evidence that will be produced or any report, the contents of which will be given in evidence at the hearing.

Recording evidence

(4) The oral evidence taken before a judge at a hearing shall be recorded and, if so required, copies or a transcript thereof shall be furnished upon the same terms as in the Supreme Court.

Findings of fact

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(5) The findings of fact of a judge pursuant to a hearing shall be based exclusively on evidence admissible on matters that may be noticed under sections 15 and 16 of the *Statutory Powers Procedure Act.* 1980, c. 9, s. 24.

Appeal to Divisional Court

25.—(1) Any party to proceedings before a judge under section 23 may appeal from the decision or order of the judge to the Divisional Court in accordance with the rules of court.

Record to be filed (2) Where notice of an appeal is served under this section, the judge shall forthwith file in the Supreme Court the record of the proceedings before him in which the decision or order was made, which, together with the transcript of the evidence before the judge if it is not part of the record of the judge, shall constitute the record in the appeal.

Minister entitled to be heard (3) The Minister is entitled to be heard by counsel or otherwise upon the argument of an appeal under this section.

Powers of court on appeal (4) The Divisional Court may, on the appeal, affirm the deciof the judge appealed from or may rescind it and make such new
decision as the court considers proper under this Act and the
regulations, and may order the Director to do any act or thing he is
authorized to do under this Act and as the court considers proper,
and for such purpose the court may substitute its opinion for that
of the Director or of the judge, or the court may refer the matter
back to the judge for rehearing, in whole or in part, in accordance
with such directions as the court considers proper. 1980, c. 9,
s. 25.

Interim uspension pending final appeal 26. The Director, by notice to the licence holder or registrant and without a hearing, may provisionally refuse to renew or suspend the holder's licence or registrant's registration where in the Director's opinion it is necessary to do so for the immediate protection of any person or the public and the Director so states in such notice giving his reasons therefor, and thereafter section 23 applies as if the notice given under this section were a notice of a

proposal to revoke the licence or registration under section 23. 1980, c. 9, s. 26.

27. An elevating device shall be inspected by an inspector at Inspections such intervals as may be determined by the Director. 1980, c. 9, s. 27.

28. A statement as to,

Certificate as evidence

- (a) the registration or non-registration of any person; or
- (b) the licensing or non-licensing of any elevating device,

purporting to be certified by the Director is, without proof of the office or signature of the Director, receivable in evidence as prima facie proof of the facts stated therein for all purposes in any action, proceeding or prosecution. 1980, c. 9, s. 27.

29.—(1) Where an accident occurs in connection with an Reporting elevating device that results in the death of or serious injury to any accidents person, the owner shall notify the Director by telephone forthwith.

- (2) Where an accident occurs that causes injury to any person or 1dem where there has been an incident involving an elevating device that indicates that the elevating device is in a potentially hazardous condition or where there has been a fire involving an elevating device, the owner and the contractor maintaining the elevating device shall notify the Director by telephone within twenty-four hours and shall submit a written report giving full particulars within seven days thereafter.
- (3) Where an accident of the type referred to in subsection (1) Wreckage occurs, no person, except for the purpose of rescuing a person disturbed injured in the accident, shall interfere with, disturb, destroy, carry away or alter any wreckage, article or thing at the scene of or connected with the accident until permission to do so is given by an inspector.
- (4) On being notified of an accident or incident in accordance Investigation with this section, the Director shall cause such investigation to be made as he considers necessary. 1980, c. 9, s. 29.

30.—(1) Every person who,

Offence

(a) contravenes or fails to comply with any provision of this Act or the regulations;

- (b) knowingly makes a false statement in any document required by this Act or the regulations;
- (c) contravenes or fails to comply with a term or condition of a licence or registration;
- (d) contravenes or fails to comply with an order or requirement of an inspector,

is guilty of an offence and on conviction is liable to a fine of not more than \$10,000 or to imprisonment for a term of not more than one year, or to both.

Idem

(2) Where a person contravenes any of the provisions of this Act or the regulations or any notice or order made thereunder on more than one day, each such day shall be deemed to constitute a separate offence. 1980, c. 9, s. 30.

Regulations

- **31.**—(1) The Lieutenant Governor in Council may make regulations respecting the construction, installation, operation, maintenance and inspection of elevating devices and, in particular and without limiting the generality of the foregoing, may make regulations,
 - (a) designating classes or subclasses of elevating devices, parts thereof and equipment used in connection therewith;
 - (b) regulating the use, location, design, construction, installation, operation, removing, alteration, repair, maintenance, service, testing and inspection or elevating devices, parts thereof and equipment used in conjunction therewith;
 - (c) requiring and prescribing qualifications, training and experience for persons who are attendants, operators or mechanics, or who may perform any work on or in conjunction with, an elevating device or parts thereof, and prescribing their duties and responsibilities;
 - (d) prescribing requirements as to the form and content of a design submission under this Act, the application for registration thereof and the fees to be paid upon submission;
 - (e) governing the conduct of persons in or about elevating devices;

- (f) respecting the term, issue and renewal of licences and registrations, the transfer of licences and prescribing the fees therefor;
- (g) prescribing responsibilities and obligations of licensees or owners;
- (h) prescribing terms and conditions to which the registration of a contractor is subject;
- (i) designating organizations to test elevating devices or parts thereof to the standards designated under the regulations and providing for the placing of the label of such organization on elevating devices or parts thereof that conform to the standards;
- (j) prescribing forms, seals and tags and providing for their use;
- (k) providing for and requiring the keeping of records, log books, drawings, instructions and specifications on, and in conjunction with, the design, construction, installation, repair, maintenance, alteration and use of an elevating device or part thereof;
- (1) requiring and prescribing the form and location of notices and markings that are to be kept in or about elevating devices;
- (m) classifying inspections and prescribing the fees to be paid for inspections or witnessing tests by inspectors and prescribing by whom the fees shall be paid;
- (n) prescribing the fees to be paid for copies of any official document issued by the Ministry;
- (o) prescribing the circumstances under which expenses or special fees, or both, are to be paid and prescribing the special fees and designating the persons by whom such expenses or fees, or both, are to be paid;
- (p) exempting any person or any class of persons, and any elevating device or part thereof or any class or subclass of them, from compliance with this Act and the regulations or any of the provisions thereof.
- (2) Any regulation may be made with respect to elevating Idem devices or with respect to any one or more of such class of mechanism or with respect to any one or more subclasses thereof.

Adoption of codes by reference

(3) A regulation may adopt by reference, in whole or in part, with such changes as the Lieutenant Governor in Council considers necessary, any code, and may require compliance with any code that is so adopted.

Definitions

(4) Any word or expression used in this Act or the regulations may be defined in the regulations for the purposes of the regulations.

Variance by Director (5) The Director may allow a variance from any code adopted under the regulations where, in his opinion, the variance would not detrimentally affect the safety of the elevating device.

Use of new codes, etc. (6) The Director may authorize the use of a new code or standard or changes to established codes or standards necessary to accommodate technological advances for a limited time and subject to any terms and conditions specified. 1980, c. 9, s. 31.